

(Finite) statistical size effects on compressive strength

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Edited by David A. Weitz, Harvard University, Cambridge, MA, and approved March 19, 2014 (received for review February 27, 2014)

The larger structures are, the lower their mechanical strength. Already discussed by Leonardo da Vinci and Edmé Mariotte several centuries ago, size effects on strength remain of crucial importance in modern engineering for the elaboration of safety regulations in structural design or the extrapolation of laboratory results to geophysical field scales. Under tensile loading, statistical size effects are traditionally modeled with a weakest-link approach. One of its prominent results is a prediction of vanishing strength at large scales that can be quantified in the framework of extreme value statistics. Despite a frequent use outside its range of validity, this approach remains the dominant tool in the field of statistical size effects. Here we focus on compressive failure, which concerns a wide range of geophysical and geotechnical situations. We show on historical and recent experimental data that weakest-link predictions are not obeyed. In particular, the mechanical strength saturates at a nonzero value toward large scales. Accounting explicitly for the elastic interactions between defects during the damage process, we build a formal analogy of compressive failure with the depinning transition of an elastic manifold. This critical transition interpretation naturally entails finite-size scaling laws for the mean strength and its associated variability. Theoretical predictions are in remarkable agreement with measurements reported for various materials such as rocks, ice, coal, or concrete. This formalism, which can also be extended to the flowing instability of granular media under multiaxial compression, has important practical consequences for future design rules.

Opening to its importance for structural design (1), the elaboration of safety regulations (2), or the extrapolation of laboratory results to geophysical field scales (3), the size effects on strength of materials are one of the oldest problems in engineering, already discussed by Leonardo da Vinci and Edmé Mariotte (4) several centuries ago, but still an active field of research (5, 6). As early as 1686, Mariotte (4) qualitatively introduced the weakest-link concept to account for size effects on mechanical strength, a phenomenon evidenced by Leonardo da Vinci almost two centuries earlier. This idea, which states that the larger the system considered is, the larger the probability to find a particularly faulty place that will be at the origin of global failure, was formalized much later by Weibull (7). Considering a chain of elementary independent links, the failure of the chain is obtained as soon as one link happens to break. By virtue of the independence between the potential breaking events, the survival probability of a chain of N links is obtained by the simple multiplication of the N elementary probabilities. Depending on the properties of the latter, the global survival probability converges toward one of the three limit distributions identified by Weibull (7), Gumbel (8), and Fréchet (8), respectively. Together with Fisher and Tippett (9), these authors pioneered the field of extreme value statistics.

This purely statistical argument, undoubtedly valid in 1D, was extended by Weibull (7, 10) to account for the risk of failure of 3D samples or structures. Besides the hypothesis of independence, it thus requires an additional hypothesis of brittleness: The nucleation of any elementary crack at the microscopic scale from a pre-existing flaw is assumed to immediately induce the failure at the macroscale. More specifically, following linear elastic fracture

mechanics (LEFM) stating that crack initiation from a flaw of size s occurs at a stress $\sigma_c \sim s^{-1/2}$, one gets a probability of failure of a system of size L under an applied stress σ , $P_F(\sigma, L)$, that depends on the distribution of preexisting defect sizes. Assuming a power law tail for this distribution, Weibull statistics are expected (7), $P_F(\sigma, L) = 1 - \exp(-(L/L_0)^d (\sigma/\sigma_u)^m)$, whereas Gumbel statistics are expected for any distribution of defect sizes whose the tail falls faster than that of a power law (8, 11, 12), $P_F(\sigma, L) = 1 - \exp(-(L/L_0)^d \exp(\sigma/\sigma_u))$, where m is the so-called Weibull's modulus, d is the topological dimension, and L_0 and σ_u are normalizing constants. For Weibull statistics, the mean strength σ_f and the associated SD $\delta(\sigma_f)$ then scale with sample size L as $\sigma_f(L) \sim \delta(\sigma_f)(L) \sim L^{-d/m}$. This approach has been successfully applied to the statistics of brittle failure strength under tension (7, 13), with m in the range 6–30 (14). It implies a vanishing strength for $L \rightarrow +\infty$, although this decrease can be rather shallow, owing to the large values of m often reported.

Although relying on strong hypotheses, this weakest-link statistical approach was almost systematically invoked until the 1970s to account for size effects on strength whatever the material and/or the loading conditions. However, as shown by Bazant (1, 5), in many situations the hypothesis of brittleness is not obeyed. This is in particular the case when the size of the fracture process zone (FPZ) becomes nonnegligible with respect to the system size. In this so-called quasi-brittle case, an energetic, nonstatistical size effect applies (15), which has been shown to account for a large variety of situations (5). Toward large scales, i.e., $L \rightarrow +\infty$, the FPZ becomes negligible compared with L , and the hypothesis of brittleness should therefore be recovered and statistical size effects should dominate. Statistical numerical models of fracture of heterogeneous media also revealed deviations from the extreme value statistics predictions (16) but, as stated by Alava et al. (ref. 11, p. 9),

Significance

Large structures generally fail under stresses significantly lower than those of small ones. This is the size effect on strength, one of the oldest problems of engineering, already discussed by Leonardo da Vinci and Edmé Mariotte centuries ago. One classical explanation is the weakest-link hypothesis: The larger the "chain" is, the larger the probability to find a weak link whose breaking will set the failure of the whole chain. We show, however, that it is irrelevant in the case of compressive loading, a situation particularly crucial for, e.g., geotechnical problems. Interpreting compressive failure as a critical transition between an "intact" and a "failed" state, we quantitatively explain the size effects on compressive strength of materials such as concrete, rocks, coal, ice, or granular materials.

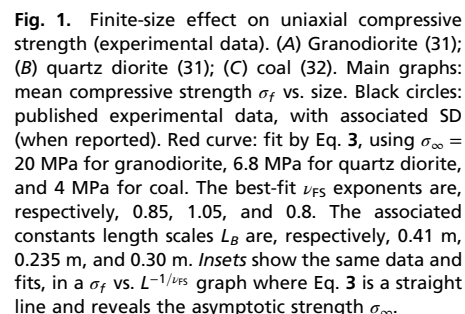
Author contributions: J.W., D.A., and D.V. designed research; J.W., L.G., F.G., D.A., and D.V. performed research; J.W., L.G., and F.G. analyzed data; and J.W. and D.V. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

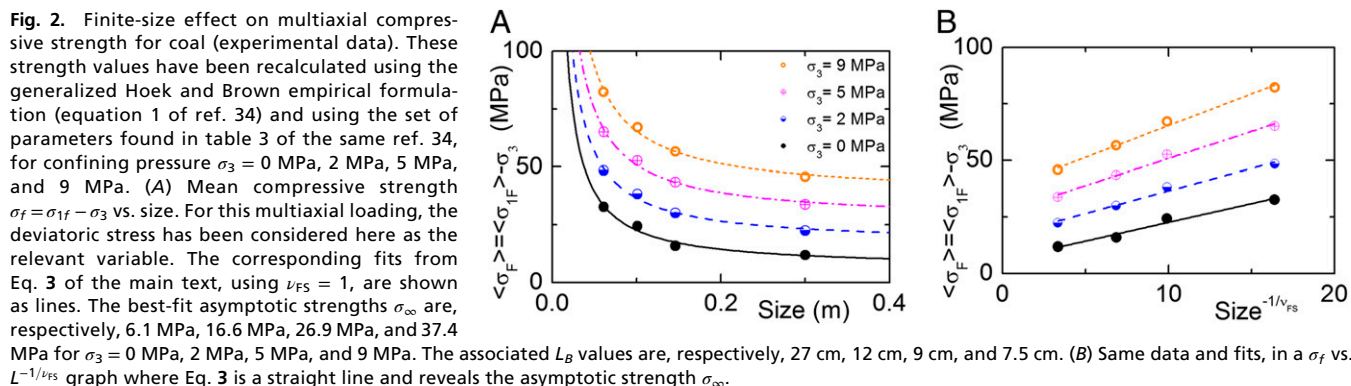
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This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1403500111/-DCSupplemental.



From this analogy, we expect finite-size scaling (relations [2] and [3]) to ensue. However, to our knowledge, there is so far no experimental data over a significant range of scales to check this anticipation. We therefore simulated the mechanical behavior of frictional granular materials, using the molecular dynamics discrete element method (63). Two-dimensional granular assemblies made of a set of frictional circular grains were considered. The dynamic equations were solved for each of the grains, which interact via linear elastic laws and Coulomb friction when they are in contact (64). Neither cohesion between grains nor rolling resistance was considered. To build granular assemblies with strongly different initial (before loading) characteristics, in terms of coordination number and/or packing density, specific sample preparation procedures were used. Details on the discrete element model as well as on these procedures are given in *SI Text*. These granular assemblies were loaded under a multiaxial configuration, with the external axial stress σ_1 prescribed to impose a constant axial strain rate, whereas the radial stress σ_3 , i.e., the confining pressure, was kept constant. The 2D sample sizes varied from 100 grains to $\sim 45,000$ grains.

This mapping onto the depinning problem is likely not restricted to brittle cohesive materials. As described in ref. 43 and recalled in *SI Text*, it can be extended to the macroscopic plastic instability in amorphous media. The case of a cohesionless frictional granular medium compressed under confinement can be interpreted as an intermediate case between amorphous plasticity and compressive damage. Indeed, shear-induced local rearrangements of the granular structure lead to irreversible local strains but not to a systematic degradation of local stiffness. Compared with amorphous plasticity,



describes the fluctuations and the associated finite-size corrections, whereas for initially unfractured materials, L_A and L_B are related to the characteristic microstructural scale (grain size, aggregate size, etc.). Therefore, owing to its predictive potential, we believe that the proposed scaling is a useful, simple to use guidance for future structural design rules or regulations (e.g., ref. 2).

Materials and Methods

The characteristics and the simulation settings of the discrete-element model of frictional granular media are given in [SI Text](#), along with the

formal derivation of the mapping of brittle compressive failure onto the depinning transition of an elastic manifold. All the experimental data analyzed here have been obtained from the literature.

ACKNOWLEDGMENTS. S. Zapperi, D. Bonamy, and an anonymous reviewer are acknowledged for interesting discussions and suggestions. All numerical simulations were performed at Service Commun de Calcul Intensif CIMENT Grenoble. J.W. and D.V. acknowledge the hospitality of the Aspen Center for Physics, which is supported by National Science Foundation Grant PHY-1066293, as the seminal ideas of this work came up during their stay at the Center.

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